



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:)
)
Barton P. BARRY, et al.) Group Art Unit: 3628
)
Application Number: 09/391,460) Examiner: Frantzy Poinvil
)
Confirmation Number: 8639)
)
Filed: September 8, 1999)
)
For: Financial Advice and Strategy)
System)

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GROUP 3600

SUBMISSION UNDER 37 C.F.R. § 1.114
AND AMENDMENT UNDER 37 C.F.R. § 1.116

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This reply is responsive to the final Office action mailed April 26, 2004 (Office action). Applicants request continued prosecution of this application. Underpayment of Any fees that may be due may be charged to Deposit Account No. 50-0206. Applicants request entry of the following amendments to the claims. Reconsideration and allowance of the above captioned application is requested in view of the following remarks. Claims 1-15 remain pending in this application. Claims 1, 3-6 and 8-15 are currently amended. Please cancel claim 16. The Office action includes rejections of claims 1-16 under 35 U.S.C. § 103(a). Applicants fully respond to these rejections as follows.